

# RAW SEQUENCE LISTING ERROR REPORT

The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number:	10/657.814
Source:	IFWO -
Date Processed by STIC:	3/5/04
• •	7-1-

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.
PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

- 1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,
- 2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION AND PATENTIN SOFTWARE QUESTIONS, PLEASE CONTACT MARK SPENCER, TELEPHONE: 703-308-4212; FAX: 703-308-4221 Effective 12/13/03: TELEPHONE: 571-272-2510; FAX: 571-273-0221

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE CHECKER VERSION 4.1 PROGRAM, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW FOR ADDRESS:

http://www.uspto.gov/web/offices/pac/checker/chkr41note.htm

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail.

Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom.

Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

- 1. EFS-Bio (<http://www.uspto.gov/ebc/efs/downloads/documents.htm>, EFS Submission User Manual ePAVE)
- 2. U.S. Postal Service: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450
- Hand Carry directly to (EFFECTIVE 12/01/03):
   U.S. Patent and Trademark Office, Box Sequence, Customer Window, Lobby, Room 1B03, Crystal Plaza Two. 2011 South Clark Place, Arlington, VA 22202
- Federal Express, United Parcel Service, or other delivery service to: U.S. Patent and Trademark Office, Box Sequence, Room 4B03-Mailroom, Crystal Plaza Two, 2011 South Clark Place, Arlington, VA 22202

Revised 10/08/03

Mosert these mardatory numeric identifiers and responses at beginning of Sequence Listing



OWTI

21107 11207 RAW SEQUENCE LISTING

PATENT APPLICATION: US/10/657,814

DATE: 03/05/2004

TIME: 09:55:51

Input Set : A:\pto.da.txt

Output Set: N:\CRF4\03052004\J657814.raw

### ERRORED SEQUENCES

3 <210> SEQ ID NO: 1

4 <211> LENGTH: 1531

5 <212> TYPE: DNA

6 <213> ORGANISM: Lactobacillus reuteri Probio-16

1) Do not use stabes or bold print. Per 1.823 of Seguese Rules, use a fixed-width

Doss Not Comply Corrected Diskette Needec

```
8 <400> SEQUENCE: 1
                                                                         60
9 qatqaacqcc qqcqgtqtqc ctaatacatq caagtcqtac gcactqqccc aactqattaa
10 tggtgcttgc acctgattga cgatggatca ccagtgagtg gcggacgggt gagtaacacg
                                                                         120
11 taggtaacct gccccggagc gggggataac atttggaaac agatgctaat accgcataac
                                                                         180
12 aacaaaagcc acatggcttt tgtttgaaag atggctttgg ctatcactct gggatggacc
                                                                         240
13 tgcggtgcat tagctagttg gtaaggtaac ggcttaccaa ggcgatgatg catagccgag
                                                                         300
                                                                         360
14 ttqaqaqact gatcggccac aatggaactg agacacggtc catactccta cgggaggcag
15 cagtagggaa tettecacaa tgggegeaag eetgatggag caacacegeg tgagtgaaga
                                                                         420
                                                                         480
16 agggtttcgg ctcgtaaagc tctgttgttg gagaagaacg tgcgtgagag taactgttca
17 cgcaqtgacg gtatccaacc agaaagtcac ggctaactac gtgccagcag ccgcggtaat
                                                                         540
18 acgtaggtgg caagcgttat ccggatttat tgggcgtaaa gcgagcgcag gcggttgctt
                                                                         600
                                                                         660
19 aggtctgatg tgaaagcctt cggcttaacc gaagaagtgc atcggaaacc gggcaacttg
20 agtgcagaag aggacagtgg aactccatgt gtagcggtgg aatgcgtaga tatatggaag
                                                                         720
21 aacaccagtg gcgaaggcgg ctgtctggtc tgcaactgac gctgaggctc gaaagcatgg
                                                                         780
                                                                         840
22 qtaqcqaaca ggattagata ccctggtagt ccatgccgta aacgatgagt gctaggtgtt
23 ggagggtttc cgcccttcag tgccggagct aacgcattaa gcactccgcc tggggagtac
                                                                         900
                                                                         960
24 qaccqcaaqq ttqaaactca aaggaattga cgggggcccg cacaagcggt ggagcatgtg
                                                                        1020
25 qtttaatteg aagetaegeg aagaaeetta eeaggtettg acatettgeg etaaeettag
26 agataaggeg tteeettegg ggaegeaatg acaggtggtg catggtegte gteagetegt
                                                                        1080
                                                                        1140
27 gtcgtgagat gttgggttaa gtcccgcaac gagcgcaacc cttgttacta gttgccagca
28 ttaagttggg cactctagtg agactgccgg tgacaaaccg gaggaaggtg gggacgacgt
                                                                        1200
29 cagateatea tgeceettat gaeetggget acaeacgtge tacaatggae ggtacaaega
                                                                        1260
30 gtcgcaaget cgcgagagta agctaatete ttaaageegt teteagtteg gactgtagge
                                                                        1320
31 tgcaactcgc ctacacgaag tcggaatcgc tagtaatcgc ggatcagcat gccgcggtga
                                                                        1380
32 atacgttccc gggccttgta cacaccgccc gtcacaccat gggagtttgt aacgcccaaa
                                                                        1440
33 gtcggtggcc taaccattat ggagggagcc gcctaaggcg ggacagatga ctggggtgaa
```

E--> 34 gtcgtaacaa ggtagccgta ggagaacctg c

Sel sample Sequerel Listing
(attached) for valid

CREA/Outhold/Ver1657814 htm Insmat

3/5/04

#### VERIFICATION SUMMARY

PATENT APPLICATION: US/10/657,814

DATE: 03/05/2004 TIME: 09:55:52

Input Set : A:\pto.da.txt

Output Set: N:\CRF4\03052004\J657814.raw

L:0 M:282 E: Numeric Field Identifier Missing, <110> is required.
L:0 M:282 E: Numeric Field Identifier Missing, <120> is required.
L:0 M:282 E: Numeric Field Identifier Missing, <160> is required.
L:34 M:254 E: No. of Bases conflict, LENGTH:Input:0 Counted:1531 SEQ:1
L:0 M:203 E: No. of Seq. differs, <160> Number Of Sequences:Input (0) Counted (1)

```
<110>
                 Smith, John: Smithgene Inc.
   <120>
                Example of a Sequence Listing
                01-00001
   <130>
                                                                                  实
  <1(0)
                PCT/EP98/00001
  <1(1)
                1998-12-31
                US 08/999,999
  <150> .
  <151>
                1997-10-15
  <160>
 <170>
               Patentin version 2.0
 <210>
 <211>
               389
 <212>
               NNG
               Paramecium sp.
 <213>
 <220>
 <221>
               CUS
               (279) . . . (389)
 <222>
 < 300>
 < 301 >
              Doc. Richard
              Isolation and Characterization of a Gene Encoding a
 < 302 >
              Protease from Paramecium sp.
 < 303>
              Journal of Genes
< 304>
 <305>
<306>
              1 - 7
< 107>
              1988-06-31
<308>
              123456
< 309>
              1988-06-31
<400>
agelglagic
              altcctgtgt<sup>i</sup>
                                                                                         60
                           cctcttctct
                                        cigggciici
                                                      caccetgeta
                                                                   accagatete
2999292969
                                                                                        120
              tettgaccet
                           cctctgcctt
                                                      caggeaggea
                                        tgcagettca
                                                                   ggcaggcagc
tgatgtggca
              attoctogca
                           gtgccacagg
                                        cttttcagcc
                                                                   tgggttccgc
                                                                                        180
                                                     aggettaggg
cgcggcgcgg
              eggeeetet egegeteete
                                        tcgcgcctct
                                                     ctctcgctct
                                                                   cctctcgctc
                                                                                        240
```

Consult this.

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## Appendix 3, page 2

```
oft tea ato tte age
Val Ser Het The Ser
ODACCEDATE ADDEDADCAD DADDADDDD CADEEAGC & ALED
                                                            1
ttg tet tte ass tgg eet ggs ttt tgt ttg bet gtt tgt ttg
Leu Ser Phe Lys Trp ero Gly Phe Cys Leu Phe Val Cys Leu
10 15
                                                                                 ttc
                                                                                      Caa
                                                                                 the Cln
           and otc ctc ecc tot cac tea tea cto cap ceg ant ett.

Lys Val Leu Pro Cys His Ser Ser Leu Gln Pro Asn. 135

25
                                                                                              389
tot
      ccc
Cys
                                                                                    Y233
<210>
              37
<211>
               PRT
<212>
               Paramecium sp.
<213>
              2 .
                                                                      Cly
                                                                          thic Cys
                                                               pro
<400>
                                 Leu Ser Phe Lys
                                                          1. ኒ
            Ser Het Phe
                             Sèr
Het Val
                                                                                 Ser Ser
                                                                           Ili s
                                                                     Cys
                                                                Pro
                                                         1.00
                                                    va l
                                               ı.ys
                       rhe Cln Cys
            Cys
                  Leu
                                                                             10
      val
                   20
      Cln
            Pro. Asn
                       1.00
LCU
             35..
<210>
              11
<211>
               TR'D
<212>
              Artificial Sequence
<213>
              Designed peptide based on size and polarity to act as a
<220>
              linker between the alpha and beta chains of Protein XYZ.
<223>
<400>
                             the Met His the Glu
                                                         110
                 Leu Clu
Het Val
            Λsn
                                                     10
<210>
<400>
000
```

[Annex VIII follows]

1:

table. The numeric identifier shall be used only in the "Sequence Listing." The order and presentation of the items of information in the "Sequence Listing" shall conform to the arrangement given below. Each item of information shall begin on a new line and shall begin with the numeric identifier enclosed in angle brackets as shown. The submission of those items of information designated with an "M" is mandatory. The submission of those items of information designated with an "O" is optional. Numeric identifiers <110> through <170> shall only be set forth at the beginning of the "Sequence Listing." The following table illustrates the numeric identifiers.

Numeric Identifier	Definition	Comments and	Handatory (H) or Optional 10)
<110>	Applicant	Preferably max. of 10 names; one name per line; preferable format: Surname, Other, Names and/or Initials	H V
<120>	Title of Invention		. <b>н</b> В 7 г. г.
<130>	File Reference	Personal file reference	M, when filed prior to assignment of appl. number
<140>	Current Applica- tion Number	Specify as: US 07/999,999 or PCT/US96/99999	M, if available
<141>	Current Filing Date "	Specify as: yyyy-mm-dd	M, if available
<150>	Prior Application Number	Speci(y as: US 07/999,999 or PCT/US96/99999	M, if applicable include priority documents under 35 USC 119 and 120
<151>	Prior Application Filing Date	Specify as: yyyy-mm-dd	M, if applicable
<160>	Number of SEQ ID	Count includes total number of SEQ ID NOs	14
<170>	Software.	Name of software used to create the Sequence Listing	0
<210>	SEQ ID NO: #:	Response shall be an integer representing the SEQ ID NO shown	м
<211>	Length	Respond with an integer expressing the number of bases or amino acid	м .
	•	cesidues -	

1/29/99 L 53 PM

... Whether presented sequence moleculc is DNA, RNA, or PRT (protein). If a nuclcotide sequence con- 1 tains both DNA and IWA fragments, the type shall be "DNA." In addition, the combined DNA/ UNV wolconje shall be further described in the <220> to <223> (cature section.

<213> Organism

Scientific name, i.e. Genus/species, Unknown or Artificial Sequence. In addition, the "Unknown" or "Artificial Sequence" organisms shall be further described in the <220> to <223> feature section.

(220) Feature

Leave blank after (220). (221-223) provide for a description of points of biological significance in the sequence.

M, under the following conditions: if "n,"
"Xaa," or a modified or unusual L-amino acid or modified base was used in a sequence; if ORGAN-ISM is "Artificial Sequence" or "Unknown"; if molecule is combined DNA/RNA.

ديرا

<221> Name/Key

Provide appropriate identifier for feature, pre(erably from wipo Standard ST. 25 (1998), Appendix 2, Tables 5 and 6

M, under the [ollowing conditions:= i[ "n," "Xaa," or a modified or unusual L-amino acid or modified base was used in a sequence

<222> Location

Specify location within sequence; where appropriate state number of first and last bases/amino acids

M, under the (ollowing conditions:
i("n," "Xaa," or
a modified or unusual L-amino
acid or modified

1129/99 (3) PM

4 34

base was used in a sequence

<223>	Other Infor- mation

1.3.1

Other relevant information: four lines maximum

::

0

H, under the following conditions:
if "n," "Xaa," or a modified or unusual L-amino acid or modified base was used in a sequence; if ORGANISH' is "Artificial molecule is combined DNA/RNA-= -=

'			
<300> ·	Publication Information	Leave blank <sup>1</sup> after <300> /*	~ \\ \( \frac{1}{2} \)
<301>	. Λuthors	Preferably max of ten named authors of publication; specify one name per line; preferable format: Surname, Other Hames and/or Initials	~ \\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \
<302>	fitle		. О
<303>	Journal		0
< 304 >	Volume		o
<305>	Issue	*	0
< 306>	lages .		ο.
<307>	Date	Journal date on which data published; specify as yyyy-mm-dd, 1001-yyyy or season-yyyy	O
< 300>	Database Accession Number	Accession number assigned by data-base including database name	0
<309>	Database Entry Date	Date of entry in database; specify as yyyy-mm-dd or MCM-yyyy	o
<310>	Patent Document Number	Document number; for patent-type citations only. Specify as, for example, US	o

07/999.999 -

1/20/09 1 21 I'M

t-

Document (iling <311> Patent Filing . date, for patenttype citations only; specify as yyyy-mm-dd Document publication Publication Date <312> date, for patent-type citations only: specify as yyyy-mm-do-TROM (position) TO <313> Relevant (position) Residues / SEO ID NO should <400> Sequence follow the numeric identifier and should appear on the line preceding the actual sequence

#### 5. Section 1.024 is revised to read as follows:

- 1.024 Form and format for nucleotide and/or amino acid sequence submissions in computer readable form.
- (a) The computer readable form required by 1.021(c) shall meet the following specifications:
- (1) The computer readable form shall contain a single "Sequence Listing" as either a dislette, series of dislettes, or other permissible media outlined in paragraph (c) of this section.
- (2) The "Sequence Listing" in paragraph (a) (1) of this section shall be submitted in American Standard Code (or Information Interchange (ASCII) text. No other formats shall be allowed.
- (3) The computer readable form may be created by any means, such as word processors, nucleotide/amino acid sequence editors or other custom computer programs; however, it shall conform to all specifications detailed in this section.
- (4) File compression is acceptable when using diskette media, so long as the compressed file is in a self-extracting formate that will decompress on one of the systems described in paragraph (b) of this section.
- (5) Page numbering shall not appear within the computer readable form version of the "Sequence Listing" (ile.
- (6) All computer readable (orms shall have a label permanently affixed thereto on which has been hand-printed or typed: the name of the applicant, the title of the invention, the date on which the data were recorded on the computer readable (orm, the operating system used, a reference number, and an application serial number and filing date, if known.
- (b) Computer readable (orm submissions must meet these (ormat requirements:
- (1) Computer: IBM PC/XT/AT, or compatibles, or Apple Macintosh;
- (2) Operating System: MS-DOS, Unix or Macintosh: